

## **F-UJI FAIR Evaluator** Capabilities and Limitations of FAIR assessments

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#FAIRification step 5

FAIRsFAIR "Fostering FAIR Data Practices in Europe" has received funding from the European Union's Horizon 2020 project call H2020-INFRAEOSC-2018-2020 Grant agreement 831558

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### FAIR Data Assessment Pilots

 FAIR assessment implementation comprises the development of two main components – assessment metrics and tool.

<u>Priority Recommendations</u> Rec. 8: Facilitate automated processing Rec. 12: Develop metrics for FAIR Digital Objects

<u>Supporting Recommendations</u> Rec. 25: Implement FAIR metrics to monitor uptake



European Commission Expert Group on FAIR Data. 2018. 'Turning FAIR into Reality: Final Report and Action Plan from the European Commission Expert Group on FAIR Data.' <u>https://doi.org/10.2777/1524</u>



<sup>1</sup>While FAIR principles may apply to any digital objects, we are concerned with the subset of digital objects: research data that are collected, measured, or created for purposes of scientific analysis.

- ✓ FsF-F1-01D Data is assigned a globally unique identifier
- ✓ FsF-F1-02D Data is assigned a persistent identifier

FsF-F2-01M - Metadata includes descriptive core elements (creator, title, data identifier, publisher, publication date, summary and keywords) to support data findability

- ✓ FsF-F3-01M Metadata includes the identifier of the data it describes
- ✓ FsF-F4-01M Metadata is offered in such a way that it can be retrieved by machines
- $\,\,\,\,\,\,\,$  FsF-A1-01M Metadata contains access level and access conditions of the data
- ✓ FsF-A2-01M Metadata remains available, even if the data is no longer available
- ✓ FsF-I1-01M Metadata is represented using a formal knowledge representation language
- ✓ FsF-I1-02M Metadata uses semantic resources
- $\,\,arsigma\,$  FsF-I3-01M Metadata includes links between the data and its related entities
- ✓ FsF-R1-01MD Metadata specifies the content of the data
- ✓ FsF-R1.1-01M Metadata includes license information under which data can be reused
- ✓ FsF-R1.2-01M Metadata includes provenance information about data creation or generation
- ✓ FsF-R1.3-01M Metadata follows a standard recommended by the target research community of the data
- ♥ FsF-R1.3-02D Data is available in a file format recommended by the target research community

Please login & comment below citing in the subject line the Metric Identifier No. you are referring to - e.g. "FsF-R1.3-01M"

## Object Assessment Metrics v0.4

# We would love to hear your feedback!

https://fairsfair.eu/fairsfairdata-object-assessmentmetrics-request-comments

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Huber, Robert, Cepinskas, Linas, Davidson, Joy, Herterich, Patricia, L'Hours, Hervé, Mokrane, Mustapha, von Stein, Ilona, & Verburg, Maaike. (2021). D4.5 Report on FAIR Data Assessment Toolset and Badging Scheme (V1.0\_DRAFT). Zenodo. <u>https://doi.org/10.5281/zenodo.5336159</u>



## Defining tests – based on what?

Princip le	Metrics	Practical Tests	Rationale
F1	<b>FsF-F1-02D</b> Data is assigned a persistent identifier	<ul> <li>A data identifier is specified based on a commonly accepted persistent identifier scheme suitable for research data.</li> <li>The identifier is web-accessible, i.e., it resolves to a landing page with metadata of the data object.</li> </ul>	<ul> <li>EOSC PID policy: globally unique, persistent, resolvable, managed (<u>Valle et al. 2020</u>)</li> <li>DataCite identifier type vocabulary (<u>DataCite Metadata Working Group 2019</u>) + identifiers.org (No authoritative registry of valid persistent identifiers exists)</li> </ul>
F2	<b>FsF-F2-01M</b> Metadata includes descriptive core elements to support data findability.	<ul> <li>Some metadata (at all) has been made available via common (web) standards.</li> <li>Minimum core citation metadata is specified (creator, title, publication date, publisher, and identifier)</li> <li>Minimum core descriptive metadata is specified (creator, title, publisher, publication date, summary, keywords, identifier) through appropriate metadata fields.</li> </ul>	<ul> <li>OAIS reference model (ISO 14721:2012): 'Findable' =&gt; OAIS descriptive metadata</li> <li>Data citation:         <ul> <li>Recommendations of Force11, ESIP, IASSIST, DataCite:</li> </ul> </li> <li>Data description:         <ul> <li>Standards/Recommendations: EOSC Datasets Minimum Information, DataCite Metadata Schema, W3C Recommendation Data on the Web, Data Catalog Vocabulary (DCAT-2)</li> <li>Communality analysis of common domain agnostic metadata standards</li> </ul> </li> </ul>

Huber, Robert, Cepinskas, Linas, Davidson, Joy, Herterich, Patricia, L'Hours, Hervé, Mokrane, Mustapha, von Stein, Ilona, & Verburg, Maaike. (2021). D4.5 Report on FAIR Data Assessment Toolset and Badging Scheme (V1.0\_DRAFT). Zenodo. <u>https://doi.org/10.5281/zenodo.5336159</u>





https://github.com/pangaea-data-publisher/fuji

https://www.f-uji.net



### F-UJI – An Automated FAIR Data Assessment Tool

/fuji/api/v1/openapi.json	Explore	
F-UJI (10.0 OAS3) /fuji/api/v1/openapi.json A Service for Evaluating Research Data Objects Based on <u>FAIRsFAIR Metrics</u> . This work was supported by the <u>FAIRsFAIR</u> project (H2020-INFRAEOSC-2018-2020) Contact the developer MIT License Find out more about F-UJI	Grant Agreement 831558).	Details  Response body  "metric_identifier": "FsF-F1-02D",  "metric_name": "Persistent identifier",  "output": {  "pid": "https://doi.org/10.1594/PANGAEA.902845",  "pid_scheme": "doi",  "resolvable_status": true,  "resolvable_status": true,  "resolved_url": "https://doi.pangaea.de/10.1594/PANGAEA.902845"  },  "passed": true, "score": {  "earned": 1, "total": 1 }, "test_debug": [
Servers /fuji/api/v1 ~ FAIR object FAIRness assessment of a data object	Authorize	<pre>"INF0: Persistence identifier scheme - doi",     "INF0: Retrieving page http://doi.org/10.1594/PANGAEA.902845",     "INF0: Request status code - 200",     "INF0: Found HTML page"     ]     ,,     {         'id": 3,         "metric_identifier": "FsF-F2-01M",         "metric_name": "Descriptive (core) metadata",         "output": {             "core_metadata_found": {             "core_ator": {                  "core_ator": {</pre>
POST       /evaluate         FAIR metric       FAIRsFAIR assessment metrics		"Bärfuss, Konrad", Response headers content-length: 5116 content-type: application/json date: Fri, 24 Apr 2020 17:14:06 GMT server: Werkzeug/1.0.0 Python/3.7.6
GET /metrics Return all metrics and their definitions	^ <b>•</b>	



## High Level Flow (Data Gathering)





### F-UJI – An Automated FAIR Data Assessment Tool

### **Assessment Results:**

### **Evaluated Resource:**

Data for: Bar chart of ceramic building material quantities by context type and Bar chart of ceramic building material MSW by context type and Ceramic building materials by context type (excluding Phase 6).

	✓ Save ↓ (ISON) ④ New					
FAIR level: ⑦	initial					
Resource PID/URL:	https://doi.org/10.17863/CAM.14473					
DataCite support:	enabled					
Metric Version:	metrics_v0.4					
Metric Specification:	https://doi.org/10.5281/zenodo.4081213					
Software version:	v1.3.8					
Download assessment results:	<u>{JSON}</u>					
Save and share assessment results:	Saved assessments: 2021-09-17 initial 2021-09-20 initial 2021-09-21 initial 2021-09-21 initial initial					

### Summary:



	Score earned:	Fair level:		
Findable:	5 of 7	0	moderate	
Accessible:	1.5 of 3	0	initial	
Interoperable:	1 of 4	0	initial	
Reusable:	3 of 10	0	initial	

### https://www.f-uji.net

### Report:

#### Findable

rsf-f1-02D - Data	is assigned a	a persiste	nt identifier.				
FAIR level:	3 of 3					advanced	
Score:	1 of 1						
Dutput:	<pre>it: {     "pid": "http:///doi.org/10.17863//CAM.14473",     "pid_scheme": "doi",     "resolvable_status": true,     "resolved_url": "https:///www.repository.cam.ac.uk//handle//1810//268269" }</pre>						
Metric tests:	Test:		Test name:			Maturity:	Result:
	FsF-F1-02D-1		Identifier follows a defined persistent identifier syntax		0.5	1	Q
	FsF-F1-02D-2		Persistent identifier is resolvable		0.5	3	Q
ebug messages:	Level:	Message	:				
	INFO	FO PID schemes-based assessment supported by the assessment service - ['ark', 'arxiv', 'bioproject', 'biosample', 'doi', 'e 'gnd', 'handle', 'lsid', 'pmid', 'pmcid', 'puri', 'refseq', 'sra', 'uniprot', 'urn']				nple', 'doi', 'ense	mbl', 'geno
	INFO	Retrieving page -: http://doi.org/10.17863/CAM.14473 as text/html, application/xhtml+xml, application/xml;q=0.5, text/xm application/rdf+xml;q=0.5				xml;q=0.5,	
	INFO	Content status=2	Content negotiation accept=text/html, application/xhtml+xml, application/xml;q=0.5, text/xml;q=0.5, application/restatus=200				
	INFO	Found H	TML page!				
	INFO	INFO Object identifier active (status code = 200)					
	SUCCES	S Persisten	ce identifier scheme -: doi				
sF-F2-01M - Meta summary and key	adata include words) to su	es descrip pport dat	tive core elements (creator, ti a findability.	itle, data identifier, publishe	er, publication da	te,	Ø



Landscape of tools	AUTOMATED EVALUATIONS	Disclaimer: FAIR-Checker is under active development and is not intended to be used yet in production environment.		
HOME EVALUATIONS MI COLLECTIONS ABOUT Search tests and collection	Metadata quality Hc checks	ow FAIR is my resource ?		
FAIR Evaluation Services	About & Feedbacks Usage statistics	FAIR resource URL		
Resources and guidelines to assess the FAIRness of digital resources.		Examples V Dataset Dataverse Workflow Publication Datacite Dataset Tool		
We are back online ! Thank you for your patience ! If you notice any unexpected failures in the tests, please report them to mark.wilkinson@upm.es	Pro	ogress O Clean results		
Import MI Tests       Import Maturity Indicators Tests as YAML       Create collections       Evaluate resources         Import Maturity Indicators Tests as YAML       Assemble Maturity Indicators Tests into community centered collections       Evaluate resources FAIRness against Collections of Maturity Indicator Tests         Get started       Get started       Get started	<u>https:</u>	://fair-checker.france-bioinformatique.fr/base_metrics		

### https://fairsharing.github.io/FAIR-Evaluator-FrontEnd/#!/#%2F!

For a comparison, see: Chang Sun, Vincent Emonet, & Michel Dumontier. (2021). Comparison results of FAIR Evaluation tools (V0.1) [Data set]. Semantic Web Applications and Tools for Healthcare and Life Sciences (SWAT4HCLS), Leiden, Netherlands. Zenodo. <u>https://doi.org/10.5281/zenodo.5539823</u> - Paper to come



# Limitations – Comparing results

### How to compare assessment results?:

- Different metrics
- Different tests
- Different software
- Different results
- Different FAIR estimates

	А	В	С	D	E	F	G	Н
1			[10.1594/PANGAEA.9		[https://www.kaggle.		[https://data.rivm.nl/	
2			Fuji	Evaluator	Fuji	Evaluator	Fuji	Evaluator
3				Findable				
4	-	Gen2_MI_F1A	-	$\checkmark$	-	$\checkmark$	-	$\checkmark$
5	-	Gen2_MI_F1B	-	X	-	X	-	X
6	FsF-F1-01D	Car2 ML E1B	$\checkmark$	v	$\checkmark$		$\checkmark$	
7	FsF-F1-02D	Genz_mi_FTB	$\checkmark$	^	X	X	X	X
8	FsF-F2-01M	Gen2_MI_F2A	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
9	-	Gen2_MI_F2B	-	$\checkmark$	-	$\checkmark$	-	$\checkmark$
10	FsF-F3-01M	Gen2_MI_F3	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
11	-	Gen2_MI_F3	-	X	-	X	-	X
12	FsF-F4-01M	Gen2_MI_F4	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	X	X

Chang, Vincent, & Michel. (2021). Comparison results of FAIR Evaluation tools (V0.1) [Data set]. Semantic Web Applications and Tools for Healthcare and Life Sciences (SWAT4HCLS), Leiden, Netherlands. Zenodo. https://doi.org/10.5281/zenodo.5539823

- Lack of standard samples
- Lack of calibration procedures



## Limitations – Interpreting results

# How to interpret or benchmark assessment results?:

- Sample may change
  - PID redirection change,
  - Repo software change
  - FAIR imrovement
  - etc..
- Procedure may change
  - Metrics change
  - Scoring change
  - Software versions
  - etc..
- Lack of standard samples
- Lack of calibration procedures



http://doi.org/10.22033/ESGF/CMIP6.4397



## Limitations – Lack of Connectivity

- FAIR architectures rely on standard (interfaces)
- Many PIDs point to landing pages only
- PIDs not supported by standards
  - OAI-PMH
- PIDs and Interfaces often disconnected: cannot be discovered





# Limitations – Lack of stability

- F-UJI uses third party resources:
  - Datacite
  - Re3data
  - Mendeley data
  - These may fail...

### High load amongst content negotiation service. Incident Report for DataCite We are still receiving a high load of requests but we are now Resolved fufilling due to increased capacity, so this issue is being marked resolved. Requests for DataCite DOIs should be unaffected going forward, requests for Crossref DOIs against DataCite Content negotiation directly may occasionally return 404s when it is unable to process. It is preferred if possible to use Content Negotiation via doi.org and you will be redirected as appropriate to the registration agencies content negotiation service as appropriate. Posted 14 days ado Jan 24 2022 - 09:20 UTC **AWWW...DON'T** CRY. It's just a 404 Error! What you're looking for may have been misplaced in Long

PIXAR

Term Memory.

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# Limitations – Handling disciplinary diversity

- Example: Geographic Information Metadata
- Very complex!
- Several versions for XML: e.g. 19139, 19115-3
- Community profiles
  - INSPIRE
- Many different representations of the same standard
- Hard to parse



Image source: https://eo4geo.sbg.ac.at/KULeuven/Technical\_Introduction\_SDI/Images\_Module01/Standards\_for\_SDI.png

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## Limitations – How to deal?

### FAIR Evaluation stakeholder meeting (Feb. 7 & 10, 2022)



"Key FAIR Evaluation stakeholders together to discuss **workflows for metadata gathering.** This is prompted by the highly divergent scores currently being issued by the various FAIR assessment tools"



Thanky

### Task 4.5:

Anusuriya Devaraju, Robert Huber, Mustapha Mokrane, Jerry de Vries, Patricia Herterich, Linas Cepinkas, Vesa Akerman, Joy Davidson, Herve L'Hours.



### **FAIR-Aware**

Let's assume you have research data almost ready for uploading to a repository; do you already know how you and the repository can work together to make the data as findable, accessible, interoperable and reusable (FAIR) as possible? By guiding you through the assessment process, the FAIR-Aware tool can help you to better understand the FAIR Principles 2 and how making data FAIR can increase the potential value and impact of your data.

Data Archiving and Networked Service DANS

FAIR-Aware is an online tool developed by the FAIRsFAIR project. The tool is not meant to give you a score for the FAIRness of a specific dataset. You should, however, have a target dataset in mind to be able to answer the questions and complete the assessment.

The assessment starts with a few questions 'about you' followed by 10 questions about FAIR. After you answer each question additional information and guidance will be displayed. The majority of the questions will help you assess your current level of awareness about what actions are needed to make data FAIR. At the end, Your feedback will help us improve FAIR-Aware and make it as user-friendly as possible. You will need between 10 and 30 minutes to complete the assessment depending on your familiarity with the subject and issues covered.

The FAIRsFAIR Team (DANS, DCC, UniHB)

Find out more about FAIRsFAIR on the project's website C. If you have any questions, drop us an e-mail.

### About you

Which research domain do you Domainwork in? Which of the following describes Funder Researcher your role? Please select all that Policy maker Publisher apply Research support (e.g. data steward, Other curator, data manager, librarian, information technology professional) Which of the following types of Funding Body Research Infrastructure/eInfrastructure organisations best describe your (e.g. data repository, service provider, Publisher employer? Please select all that library) apply. Industry University or Research Performing Organisation Other Research Performing Organisation Government eInfrastructure (e.g. repository or scientific data provider)

### FAIR questions

#### FINDABLE Are you aware that a dataset should be assigned a globally unique and Yes O No persistent identifier when deposited with a data repository? Are you aware that when you deposit a dataset with a repository, you O Yes will need to provide some details (known as discovery metadata) in No order to make the data findable, understandable and reusable to others?